

Docket No. S0002-US02

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

In re Application of: Luehmann, et al.

Serial No.: 10/041898

Filed: 01/07/2002

For: Purified Water Supply System For High  
Demand Devices and Applications

Customer No.: 24994

Group Art No: 1723  
Examiner: Not yet assigned



Commissioner for Patents  
Washington, DC 20231

**RECEIVED**  
MAY 15 2002  
**TC 1700**

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § 1.56, Applicant brings to the attention of the Examiner the documents listed on the attached PTO Form 1449. Copies of these documents are also enclosed. It is believed this Information Disclosure Statement is being filed before the first Office Action on the merits and thus no fee should be due (see 37 C.F.R. 1.97 (b)). If this is incorrect, please charge deposit account 03-2316.

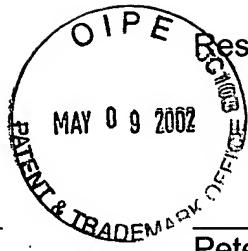
This submission does not represent that a search has been made or that no better information exists and does not constitute an admission that each or all of the listed documents alone or in combination, are considered to be material to patentability as defined under 37 C.F.R. §1.56(b).

If there are any questions, any representative of the U.S. Patent and Trademark Office is encouraged to call the undersigned attorney of record at the below-printed telephone number.

**RECEIVED**  
JUN 05 2002  
**OFFICE OF PETITIONS**

May 8, 2002

Date



Respectfully submitted,

A handwritten signature in black ink, appearing to read "Peter B. Scull".

Peter B. Scull  
Atty. Reg. No: 37,932  
Gambro, Inc.  
10810 W. Collins Ave.  
Lakewood, Colorado 80215  
(303) 205-2560

Attachments

**RECEIVED**

MAY 15 2002

**TC 1700**

**RECEIVED**

JUN 05 2002

**OFFICE OF PETITIONS**

Docket No. S0002-US02

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

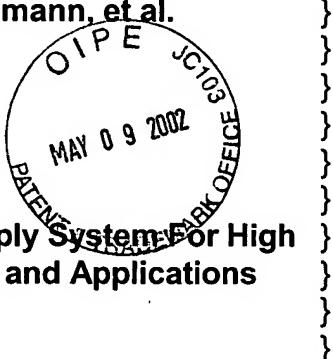
In re Application of: Luehmann, et al.

Serial No.: 10/041898

Filed: 01/07/2002

For: Purified Water Supply System For High  
Demand Devices and Applications

Customer No.: 24994



**RECEIVED**

MAY 15 2002

**TC 1700**

Group Art No: 1723  
Examiner: Not yet assigned

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

I hereby certify that the following documents:

1. Certificate of Express Mailing (1 page)
2. Transmittal Form (1 page)
3. Fee Transmittal for FY2002 with Deposit Account No. (1 page)
4. Information Disclosure Statement (2 pages)
5. PTO Form 1449 (1 page)
6. Copies of documents listed on Form 1449 (6 items)
7. Return Card

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

Commissioner for Patents  
Washington, DC 20231

on this date of 05/09/2002

A handwritten signature in black ink, appearing to read "Yanmin Huang".

EV 116731685 US

Express Mailing Label Number

**RECEIVED**

JUN 05 2002

OFFICE OF PETITIONS

Please type a plus sign (+) inside this box →

05-13-02 H23  
PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application	10/041898
Filing Date	01/07/2002
First Named	Luehmann, et al.
Group Art Unit	1723
Examiner Name	Not yet assigned

Attorney Docket Number S0002-US02

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  <input type="checkbox"/> Certificate of Express Mailing , Form 1449, Copies of documents listed on Form 1449, Return card.
<input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

RECEIVED

JUN 05 2002

TC 1700

MAY 15 2002

RECEIVED

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Peter B. Scull, Reg. No. 37,932 (Customer No. 24994)
Signature	
Date	May 8, 2002

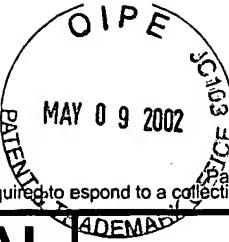
### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: May 9, 2002 EV 116731685 US

Typed or printed name	Yanmin Huang	Date	05/09/2002
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

MAY 09 2002



PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$0.00

Complete if Known

RECEIVED

Application Number To be assigned

Filing Date Herewith

First Named Inventor Luehmann, et al.

MAY 15 2002

Examiner Name To be assigned

Group Art Unit To be assigned

Attorney Docket No. S0002-US02

TC 1700

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None  
 Deposit Account:

Deposit Account Number **03-2316**

Deposit Account Name **Gambro, Inc.**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	
105 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
SUBTOTAL (1)			
RECEIVED JUN 05 2002			
OFFICE OF PETITIONS			
Total Claims	32	-20** = 0 X 0.00 = 0.00	
Independent Claims	3	-3** = 0 X 0.00 = 0.00	
Multiple Dependent			
Fee Code (\$)	Fee Code (\$)	Fee Description	
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		\$0.00	
RECEIVED JUN 05 2002			
OFFICE OF PETITIONS			
Extra Claims	Fee from below	Fee Paid	
Total Claims	32 -20** = 0	X 0.00 = 0.00	
Independent Claims	3 -3** = 0	X 0.00 = 0.00	
Multiple Dependent			
Fee Code (\$)	Fee Code (\$)	Fee Description	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3)	\$0.00

\*\*or number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Peter B. Scott	Registration No. (Attorney/Agent)	37932	Telephone	303-205-2560
Signature		Date	May 8, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.